

**2013-2014
STATE OF NEBRASKA
SID BUDGET FORM**

SID # 6

RECEIVED

TO THE COUNTY BOARD AND COUNTY CLERK OF
Lancaster County

SEP 19 2013

LANCASTER COUNTY
CLERK

This budget is for the Period JULY 1, 2013 through JUNE 30, 2014

Contact Information	
Auditor of Public Accounts	
Telephone: (402) 471-2111	FAX: (402) 471-3301
Website: www.auditors.nebraska.gov	
Questions - E-Mail: Deann.Haeffner@nebraska.gov	

Submission Information - Adopted Budget Due by 9-20-2013	
1. Auditor of Public Accounts - PO Box 98917 - Lincoln, NE 68509	
Submit Electronically using Website: http://www.auditors.nebraska.gov/	
2. County Board (SEC. 13-508), C/O County Clerk	

The Undersigned Clerk/Board Member Hereby Certifies:

The following PERSONAL AND REAL PROPERTY TAX is requested for the ensuing year:

\$	10,262.92	Property Taxes for Non-Bonds
\$	3,146.00	Principal and Interest on Bonds
\$	13,408.92	Total Personal and Real Property Tax Required

Budget Document To Be Used As Audit Waiver?

My Subdivision has elected to use this Budget Document as the Audit Waiver.

YES NO
 (If YES, Board Minutes **MUST** be Attached)

If YES, Page 2, Column 2 **MUST** contain ACTUAL Numbers.
If YES, DO NOT COMPLETE/SUBMIT SEPARATE AUDIT WAIVER REQUEST.

Outstanding Bonded Indebtedness as of JULY 1, 2013

\$	46,639.08	Principal
\$	2,042.82	Interest
\$	48,681.90	Total Bonded Indebtedness

SID is Less Than 5 Years Old

YES, SID is Less than 5 Years Old; Therefore, LC-3
 and Levy Limit DO NOT APPLY
 Date SID was formed: _____

\$	3,006,279	Total Certified Valuation (All Counties)
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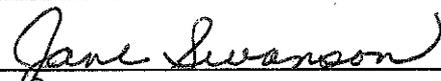
*(Certification of Valuation(s) from County Assessor **MUST** be attached)*

Report of Joint Public Agency & Interlocal Agreements

Was this Subdivision involved in any Interlocal Agreements or Joint Public Agencies for the reporting period of July 1, 2012 through June 30, 2013?

YES NO
 If YES, Please submit Interlocal Agreement Report by December 31, 2013

CLERK/BOARD MEMBER:

Signature: 

Printed Name: Jane Swanson

Mailing Address: 8101 W. O Street

City, Zip: Lincoln, NE 68528

Phone Number: (402) 475-5887

E-Mail Address: _____

Report of Trade Names, Corporate Names & Business Names

Did the Subdivision operate under a separate Trade Name, Corporate Name, or other Business Name during the period of July 1, 2012 through June 30, 2013?

YES NO
 If YES, Please submit Trade Name Report by December 31, 2013

SID # 6 in Lancaster County

Line No.	TOTAL ALL FUNDS	Actual 2011 - 2012 (Column 1)	Actual 2012 - 2013 (Column 2)	Adopted Budget 2013 - 2014 (Column 3)
1	Beginning Balances, Receipts, & Transfers:			
2	Net Cash Balance	\$ -	\$ -	\$ 24,897.57
3	Investments	\$ -	\$ -	\$ -
4	County Treasurer's Balance	\$ 24,160.01	\$ 20,114.79	\$ -
5	Subtotal of Beginning Balances (Lines 2 thru 4)	\$ 24,160.01	\$ 20,114.79	\$ 24,897.57
6	Personal and Real Property Taxes (Columns 1 and 2-See Preparation Guidelines)	\$ 11,206.50	\$ 11,873.94	\$ 13,146.00
7	Federal Receipts	\$ -	\$ -	\$ -
8	State Receipts: Motor Vehicle Pro-Rate	\$ 37.62	\$ 36.47	\$ 35.00
9	State Receipts: State Aid			
10	State Receipts: Other	\$ 1,177.37	\$ 1,439.00	\$ 1,700.00
11	State Receipts: Property Tax Credit	\$ 377.58	\$ -	
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -	\$ -
13	Local Receipts: In Lieu of Tax	\$ -	\$ -	\$ -
14	Local Receipts: Other	\$ 11,315.26	\$ 33,388.27	\$ 11,500.00
15	Transfers In Of Surplus Fees	\$ -	\$ -	\$ -
16	Transfer In Other Than Surplus Fees (Should agree to Line 28)	\$ 11,022.07	\$ -	\$ -
17	Total Resources Available (Lines 5 thru 16)	\$ 59,296.41	\$ 66,852.47	\$ 51,278.57
18	Disbursements & Transfers:			
19	Operating Expenses	\$ 25,013.55	\$ 12,223.20	\$ 30,000.00
20	Capital Improvements (Real Property/Improvements)	\$ -	\$ 22,480.00	\$ 5,000.00
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -	\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ 3,146.00	\$ 3,201.70	\$ 3,146.00
23	Debt Service: Payments to Retire Interest-Free Loans (Public Airports)			
24	Debt Service: Payments to Bank Loans & Other Instruments (Fire Districts)			
25	Debt Service: Other	\$ -	\$ 4,050.00	\$ -
26	Judgments	\$ -	\$ -	\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -	\$ -
28	Transfers Out Other Than Surplus Fees (Should agree to Line 16)	\$ 11,022.07	\$ -	\$ -
29	Total Disbursements & Transfers (Lines 19 thru 28)	\$ 39,181.62	\$ 41,954.90	\$ 38,146.00
30	Balance Forward/Cash Reserve (Line 17 - Line 29)	\$ 20,114.79	\$ 24,897.57	\$ 13,132.57

PROPERTY TAX RECAP	Tax from Line 6	\$ 13,146.00
	County Treasurer's Commission at 2% of Line 6	\$ 262.92
	Delinquent Tax Allowance	\$ -
	Total Property Tax Requirement	\$ 13,408.92

SID # 6 in Lancaster County

To Assist the County For Levy Setting Purposes

The Cover Page identifies the Property Tax Request between Principal & Interest on Bonds and All Other Purposes. If your SID needs more of a breakdown for levy setting purposes, complete the section below.

Property Tax Request by Fund:	Property Tax Request
General Fund	\$ 10,262.92
Bond Fund	\$ 3,146.00
Total Tax Request	** \$ 13,408.92

** This Amount should agree to the Total Personal and Real Property Tax Required on the Cover Page (Page 1).

Documentation of Transfers:

(Only complete if there are transfers noted on Page 2, Column 2)

Please explain what fund the monies were transferred from, what fund they were transferred to, and the reason for the transfer.

Transfer From: <u>Construction Fund</u>	Transfer To: <u>General Fund</u>
Amount: \$	-
Reason:	

Transfer From: _____	Transfer To: _____
Amount: \$	-
Reason:	

Transfer From: _____	Transfer To: _____
Amount: \$	-
Reason:	

SID # 6 in Lancaster County

CORRESPONDENCE INFORMATION

BOARD CHAIRPERSON

Angella Hertzell

(Name of Board Chairperson)

8301 W. O Street

(Mailing Address)

Lincoln, NE 68528

(City & Zip Code)

(402) 476-3590

(Telephone Number)

(E-Mail Address)

PREPARER

Tim Engler, Attorney

(Name and Title)

Harding & Shultz, P.C., L.L.O.

(Firm Name)

121 S. 13th St., Ste. 800

(Mailing Address)

Lincoln, NE 68508

(City & Zip Code)

(402) 434-3000

(Telephone Number)

tengler@hslegalfirm.com

(E-Mail Address)

NOTE: If Budget Document is used as an Audit Waiver, approval of the Audit Waiver will be sent to the Board Chairperson via e-mail. If no e-mail address is supplied for the Board Chairperson, notification will be mailed via the US Post Office.

For Questions on this form, who should we contact (please one): *Contact will be via e-mail if supplied.*

Board Chairperson

Preparer

Other Contact

OTHER CONTACT

Jane Swanson

(Name and Title)

(Firm Name)

8101 W. O Street

(Mailing Address)

Lincoln, NE 68528

(City & Zip Code)

(402) 475-5881

(Telephone Number)

(E-Mail Address)

SID # 6 in Lancaster County
LC-3 SUPPORTING SCHEDULE

Calculation of Restricted Funds

Total Personal and Real Property Tax Requirements	(1) \$	13,408.92
Motor Vehicle Pro-Rate	(2) \$	35.00
In-Lieu of Tax Payments	(3) \$	-
Transfers of Surplus Fees	(4) \$	-
Prior Year Budgeted Capital Improvements that were excluded from Restricted Funds.		
Prior Year 2012-2013 Capital Improvements Excluded from Restricted Funds (From 2012-2013 LC-3 Lid Exceptions, Line (10))	\$	5,000.00 (5)
LESS: Amount Spent During 2012-2013	\$	2,883.00 (6)
LESS: Amount Expected to be Spent in Future Budget Years	\$	2,117.00 (7)
Amount to be included on 2013-2014 Restricted Funds <u>(Cannot be a Negative Number)</u>	(8) \$	-

TOTAL RESTRICTED FUNDS (A)	(9)	\$ 13,443.92
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LC-3 Lid Exceptions

Capital Improvements (Real Property and Improvements on Real Property)	\$	5,000.00 (10)
LESS: Amount of prior year capital improvements that were excluded from previous lid calculations but were not spent and now budgeted this fiscal year <i>(cannot exclude same capital improvements from more than one lid calculation.)</i> Agrees to Line (7).	\$	2,117.00 (11)
Allowable Capital Improvements	(12) \$	2,883.00
Bonded Indebtedness	(13) \$	3,146.00
Public Facilities Construction Projects (Statutes 72-2301 to 72-2308)	(14)	_____
Interlocal Agreements/Joint Public Agency Agreements	(15)	_____
Judgments	(16)	_____
Refund of Property Taxes to Taxpayers	(17)	_____
Repairs to Infrastructure Damaged by a Natural Disaster	(18)	_____

TOTAL LID EXCEPTIONS (B)	(19)	\$ 6,029.00
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TOTAL 2013-2014 RESTRICTED FUNDS For Lid Computation (To Line 9 of the LC-3 Lid Form) <i>To Calculate: Total Restricted Funds (A)-Line 9 MINUS Total Lid Exceptions (B)-Line 19</i>	\$ 7,414.92
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Total 2013-2014 Restricted Funds for Lid Computation cannot be less than zero. See Instruction Manual on completing the LC-3 Supporting Schedule.

SID # 6 in Lancaster County

COMPUTATION OF LIMIT FOR FISCAL YEAR 2013-2014

PRIOR YEAR RESTRICTED FUNDS AUTHORITY OPTION 1 OR OPTION 2

OPTION 1

2012-2013 Restricted Funds Authority (Base Amount) = Line (8) from last year's LC-3 Form 7,563.03
Option 1 - (1)

OPTION 2 - Only use if a vote was taken last year at a townhall meeting to exceed Lid for one year

Line (1) of 2012-2013 Lid Computation Form Option 2 - (A)

Allowable Percent Increase **Less** Vote Taken %
 (From 2012-2013 Lid Computation Form Line (6) - Line (5)) Option 2 - (B)

Dollar Amount of Allowable Increase Excluding the vote taken -
 Line (A) X Line (B) Option 2 - (C)

Calculated 2012-2013 Restricted Funds Authority (Base Amount) = -
 Line (A) **Plus** Line (C) Option 2 - (1)

ALLOWABLE INCREASES

1 BASE LIMITATION PERCENT INCREASE (2.5%) 2.50 %
(2)

2 ALLOWABLE GROWTH PER THE ASSESSOR MINUS 2.5% - %
(3)

$$\frac{\text{2013 Growth per Assessor}}{\text{2012 Valuation}} = \frac{\text{Multiply times}}{\text{100 To get \%}} \text{ \%}$$

3 ADDITIONAL ONE PERCENT BOARD APPROVED INCREASE %
(4)

$$\frac{\text{\# of Board Members voting "Yes" for Increase}}{\text{Total \# of Members in Governing Body}} = \frac{\text{Must be at least .75 (75\%) of the Governing Body}}{\text{Must be at least .75 (75\%) of the Governing Body}} \text{ \%}$$

ATTACH A COPY OF THE BOARD MINUTES APPROVING THE INCREASE.

4 SPECIAL ELECTION/TOWNHALL MEETING - VOTER APPROVED % INCREASE %
(5)

Please Attach Ballot Sample and Election Results OR Record of Action From Townhall Meeting

SID # 6 in Lancaster County

TOTAL ALLOWABLE PERCENT INCREASE = Line (2) + Line (3) + Line (4) + Line (5)	<u>2.50</u> % (6)
Allowable Dollar Amount of Increase to Restricted Funds = Line (1) x Line (6)	<u>189.08</u> (7)
Total Restricted Funds Authority = Line (1) + Line (7)	<u>7,752.11</u> (8)
Less: 2013-2014 Restricted Funds from LC-3 Supporting Schedule	<u>7,414.92</u> (9)
Total Unused Restricted Funds Authority = Line (8) - Line (9)	<u>337.19</u> (10)

**LINE (10) MUST BE GREATER THAN OR EQUAL TO ZERO OR
YOU ARE IN VIOLATION OF THE LID LAW.**

**THE AMOUNT OF UNUSED RESTRICTED FUNDS AUTHORITY ON LINE (10)
MUST BE PUBLISHED IN THE NOTICE OF BUDGET HEARING.**

Levy Limit Form
Sanitary and Improvement Districts

SID # 6 in Lancaster County

Total 2013-2014 Personal and Real Property Tax Request		\$ 13,408.92
		(1)
Less Personal and Real Property Tax Request for:		
Judgments (not paid by liability insurance coverage)	(_____)	
	(A)	
Preexisting lease-purchase contracts approved prior to July 1, 1998	(_____)	
	(B)	
Bonded Indebtedness	(\$ 3,146.00)	
	(C)	
Public Facilities Construction Projects (Statutes 72-2301 to 72-2308)	(_____)	
	(D)	
Total Exclusions		(\$ 3,146.00)
		(2)
Personal and Real Property Tax Request subject to Levy Limit		\$ 10,262.92
		(3)
2013 Valuation (Per the County Assessor)		\$ 3,006,279.00
		(4)
Calculated Levy for Levy Limit Compliance [Line (3) Divided By Line (4) Times 100]		0.341383
		(5)

Note : Levy Limit established by State Statute Section 77-3442:
Sanitary and Improvement District (SID) Levy - 40 cents

Attach supporting documentation if a vote was held to exceed levy limits.

Sanitary and Improvement District # 6
IN
Lancaster County, Nebraska

NOTICE OF BUDGET HEARING AND BUDGET SUMMARY

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Sections 13-501 to 13-513, that the governing body will meet on the _____ day of _____ 2013, at _____ o'clock _____, at _____ for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to the following proposed budget. The budget detail is available at the office of the Clerk during regular business hours.

	Clerk/Secretary
2011-2012 Actual Disbursements & Transfers	\$ 39,181.62
2012-2013 Actual Disbursements & Transfers	\$ 41,954.90
2013-2014 Proposed Budget of Disbursements & Transfers	\$ 38,146.00
2013-2014 Necessary Cash Reserve	\$ 13,132.57
2013-2014 Total Resources Available	\$ 51,278.57
Total 2013-2014 Personal & Real Property Tax Requirement	\$ 13,408.92
Unused Budget Authority Created For Next Year	\$ 337.19

Breakdown of Property Tax:

Personal and Real Property Tax Required for Non-Bond Purposes	\$ 10,262.92
Personal and Real Property Tax Required for Bonds	\$ 3,146.00

NOTICE OF SPECIAL HEARING TO SET FINAL TAX REQUEST

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Section 77-1601.02, that the governing body will meet on the _____ day of _____ 2013, at _____ o'clock _____, at _____ for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to setting the final tax request at a different amount than the prior year tax request.

2012-2013 Property Tax Request	
2012 Tax Rate	
Property Tax Rate (2012-2013 Request/2013 Valuation)	-
2013-2014 Proposed Property Tax Request	\$ 13,408.92
Proposed 2013 Tax Rate	0.446030

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NOTICE OF BUDGET HEARING AND BUDGET SUMMARY

Sanitary and Improvement District # 6
IN
Lancaster County, Nebraska

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Sections 13-501 to 13-513, that the governing body will meet on the 18th day of SEPTEMBER 2013, at 5:30 o'clock PM at 8321 WEST O.S.T. for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to the following proposed budget. The budget detail is available at the office of the Clerk during regular business hours.

Jane Swanson, Clerk

Clerk/Secretary

2011-2012 Actual Disbursements & Transfers	\$	39,181.62
2012-2013 Actual Disbursements & Transfers	\$	41,954.90
2013-2014 Proposed Budget of Disbursements & Transfers	\$	38,146.00
2013-2014 Necessary Cash Reserve	\$	13,132.57
2013-2014 Total Resources Available	\$	51,278.57
Total 2013-2014 Personal & Real Property Tax Requirement	\$	13,408.92
Unused Budget Authority Created For Next Year	\$	337.19

Breakdown of Property Tax:

Personal and Real Property Tax Required for Non-Bond Purposes	\$	10,262.92
Personal and Real Property Tax Required for Bonds	\$	3,146.00

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Please Complete this **Basic Data Input Area**
 It will put information consistently throughout Budget Form.
MUST COMPLETE THESE LINES

Sanitary Improvement District #:	6
Name of County, Do Not Include the word "County":	Lancaster
First Date of Fiscal Year (i.e. July 1):	JULY 1
Last Date of Fiscal Year (i.e. June 30):	JUNE 30
Hearing Held On:	
Day of month	
Month	
Year	2013
Time	
A.M. or P.M.	
Location	
Final Tax Request Hearing Held On:	
Day of month	18
Month	SEPTEMBER
Year	2013
Time	5:30
A.M. or P.M.	P.M.
Location	8321 WEST O STREET, EMERALD
County Treasurer's Commission Percentage:	2

**MUST
COMPLETE
This Yellow
Section**

LANCASTER COUNTY ASSESSOR/REGISTER OF DEEDS

COUNTY-CITY BUILDING

LINCOLN, NEBRASKA 68508-2864

PHONE (402) 441-7463

FAX (402) 441-8759

NORMAN H. AGENA
ASSESSOR-REGISTER OF DEEDS

ROB OGDEN
CHIEF FIELD DEPUTY

SCOTT GAINES
CHIEF ADMINISTRATIVE DEPUTY

CERTIFICATE OF VALUATION

for tax year 2013

for

SANITARY IMPROVEMENT DISTRICT #6

(EMERALD)

2013 Total Valuation	\$	3,006,279
Valuation Attributed to Growth	\$	18,073

I, Norman H. Agena, duly elected Lancaster County Assessor/Register of Deeds, pursuant to the provisions of Neb. Rev. Stat. Section 13-509, do hereby certify that the valuation listed herein is, to the best of my knowledge and belief, the true and accurate taxable valuation for the current year.

**Value attributable to growth is determined pursuant to section 13-518 which includes real and personal property annexation if applicable.*

Dated this 16th day of August, 2013.



Norman H. Agena

ACKNOWLEDGMENT OF RECEIPT OF NOTICE OF MEETING

The undersigned Trustees of Sanitary and Improvement District No. 6 of Lancaster County, Nebraska, hereby acknowledge receipt of advance notice of a meeting of the Board of Trustees of said District and the agenda for such meeting held at 5:30 P.m. on the 18th day of SEPTEMBER, 2013, at 8321 WEST O STREET Emerald, Nebraska.

Dated this 18th day of SEPTEMBER, 2013.

Julie Lee Melicham
Anna Smith
Mark Roth
Angella Keith

I certify that _____ was absent from the meeting and did not return until after the meeting and could not be notified.

Jane Swanson
Clerk

S.I.D. No. 6
Emerald, NE
Budget Minutes
September 18, 2013

Meeting was called to order by Chairperson, Angella Hertzal, at 5:30
a.m./p.m. SEPTEMBER 18, 2013 at 8321 WEST O STREET.

Present were Angella Hertzal, Michael Hertzal, Julia Melicher,
Anna Smith, Jane Swanson

Budget was presented by Clerk Jane Swanson. Discussion was held. Motion was made by Jane Swanson and seconded by Angella Hertzal to approve the Budget and request that the Budget Document be used as the Audit Waiver. All in favor. Motion passed.

Meeting was adjourned at 7:30 a.m./p.m.

Submitted by,

Jane Swanson, Clerk
Jane Swanson, Clerk

The following resolution was introduced:

BE IT RESOLVED, by the Board of Trustees of Sanitary and Improvement District No. 6 of Lancaster County, Nebraska, that the Board requests a waiver of the audit requirement for fiscal year ending JUNE 30, 2013.

Motion was made by Michael Hertzal, and seconded by Angella Hertzal.
On roll call, the following voted for passage and adoption of said resolution:

AYE: Angella Hertzal, Michael Hertzal,
Julia Melichar, Anna Smith, Jane Swanson

NAY: None

Thereupon, the Chairman declared said motion carried, and said resolution was passed and adopted.

The following resolution was introduced:

BE IT RESOLVED, by the Board of Trustees of Sanitary and Improvement District No. 6 of Lancaster County, that the Board accepts and adopts the budget as published.

Motion was made by Julia Melichar, and seconded by Anna Smith.
On roll call, the following voted for passage and adoption of said resolution:

AYE: Angella Hertzal, Michael Hertzal,
Julia Melichar, Anna Smith, Jane Swanson

NAY: None

Thereupon, the Chairman declared said motion carried, and said resolution was passed and adopted.

**Sanitary and Improvement District #6
IN Lancaster County, Nebraska
NOTICE OF BUDGET HEARING
AND BUDGET SUMMARY**

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Sections 13-501 to 13-513, that the governing body will meet on the 19th day of September 2013, at 6:00 o'clock P.M. at 8321 West O Street for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to the following proposed budget. The budget detail is available at the office of the Clerk during regular business hours.

	Clerk/Secretary
2011-2012 Actual Disbursements & Transfers	\$ 39,181.62
2012-2013 Actual/Estimated Disbursements & Transfers	\$ 41,954.90
2013-2014 Proposed Budget of Disbursements & Transfers	\$ 38,146.00
2013-2014 Necessary Cash Reserve	\$ 13,132.57
2013-2014 Total Resources Available	\$ 51,278.57
Total 2013-2014 Personal & Real Property Tax Requirement	\$ 13,408.92
Unused Budget Authority Created for Next Year	\$ 337.19
Breakdown of Property Tax:	
Personal and Real Property Tax Required for Non-Bonds Purposes	\$ 10,262.92
Personal and Real Property Tax Required for Bonds	\$ 3,146.00

NOTICE OF SPECIAL HEARING TO SET FINAL TAX REQUEST

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Section 77-1601.02, that the governing body will meet on the 19th day of September 2013, at 6 o'clock p.m., at 8321 West O Street, Lincoln for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to setting the final tax request of a different amount than the prior year tax request.

2012-2013 Property Tax Request	\$ -
2012 Tax Rate	\$ -
Property Tax Rate (2012-2013 Request/2013 Valuation)	\$ -
2013-2014 2013-2014 Property Tax Request	\$ 13,408.92
Proposed 2013 Tax Rate	\$ 0.446030

#7449143 1st Sept 7