

2013-2014  
STATE OF NEBRASKA  
**GENERAL BUDGET FORM**

**RECEIVED** Railroad Transportation Safety District

SEP 17 2013

TO THE COUNTY BOARD AND COUNTY CLERK OF  
Lancaster County

LANCASTER COUNTY

This budget is for the Period July 1, 2013 through June 30, 2014

Contact Information	
Auditor of Public Accounts	
Telephone: (402) 471-2111	FAX: (402) 471-3301
Website: <a href="http://www.auditors.nebraska.gov">www.auditors.nebraska.gov</a>	
Questions - E-Mail: <a href="mailto:Deann.Haeffner@nebraska.gov">Deann.Haeffner@nebraska.gov</a>	

Submission Information - Adopted Budget Due by 9-20-2013	
1. Auditor of Public Accounts - PO Box 98917 - Lincoln, NE 68509	
Submit Electronically using Website: <a href="http://www.auditors.nebraska.gov/">http://www.auditors.nebraska.gov/</a>	
2. County Board (SEC. 13-508), C/O County Clerk	

**The Undersigned Clerk/Board Member Hereby Certifies:**

The following PERSONAL AND REAL PROPERTY TAX is requested for the ensuing year:

\$	2,710,339.00	Property Taxes for Non-Bond Purposes
		Principal and Interest on Bonds
\$	2,710,339.00	<b>Total Personal and Real Property Tax Required</b>

Outstanding Bonded Indebtedness as of July 1, 2013

	Principal
	Interest
\$	-
	<b>Total Bonded Indebtedness</b>

\$	20,848,760,247	<b>Total Certified Valuation (All Counties)</b>
<i>(Certification of Valuation(s) from County Assessor MUST be attached)</i>		

**CLERK/BOARD MEMBER:**

Signature: Deb Schorr  
 Printed Name & Title: Deb Schorr, Chairperson  
 Mailing Address: 555 South Tenth Street  
 City, Zip: Lincoln, 68508  
 Phone Number: 402-441-7447  
 E-Mail Address: [dschorr@lancaster.ne.gov](mailto:dschorr@lancaster.ne.gov)

Budget Document To Be Used As Audit Waiver?
My Subdivision has elected to use this Budget Document as the Audit Waiver. (If YES, Board Minutes MUST be Attached)
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
If YES, Column 2 <b>MUST</b> contain <b>ACTUAL</b> Numbers.
IF YES, DO NOT COMPLETE/SUBMIT SEPARATE AUDIT WAIVER REQUEST.

Report of Joint Public Agency & Interlocal Agreements
Was this Subdivision involved in any Interlocal Agreements or Joint Public Agencies for the reporting period of July 1, 2012 through June 30, 2013?
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
If YES, Please submit Interlocal Agreement Report by December 31, 2013.

Report of Trade Names, Corporate Names & Business Names
Did the Subdivision operate under a separate Trade Name, Corporate Name, or other Business Name during the period of July 1, 2012 through June 30, 2013?
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
If YES, Please submit Trade Name Report by December 31, 2013.

County Clerk's Use ONLY

Railroad Transportation Safety District in Lancaster County

Line No.	TOTAL ALL FUNDS	Actual 2011 - 2012 (Column 1)	Actual/Estimated 2012 - 2013 (Column 2)	Adopted Budget 2013 - 2014 (Column 3)
1	<b>Beginning Balances, Receipts, &amp; Transfers:</b>			
2	Net Cash Balance	\$ 5,457,199.00	\$ 9,130,979.54	\$ 20,104.26
3	Investments	\$ 7,531,663.00	\$ 7,823,937.00	\$ 10,534,882.69
4	County Treasurer's Balance	\$ 93,981.00	\$ 94,573.15	\$ 32,255.95
5	<b>Subtotal of Beginning Balances (Lines 2 thru 4)</b>	\$ 13,082,843.00	\$ 17,049,489.69	\$ 10,587,242.90
6	Personal and Real Property Taxes (Columns 1 and 2 - See Preparation Guidelines)	\$ 4,631,725.00	\$ 3,105,008.17	\$ 2,657,195.00
7	Federal Receipts	\$ -	\$ -	\$ -
8	State Receipts: Motor Vehicle Pro-Rate (To LC-3 Supporting Schedule)	\$ 14,692.00	\$ 6,904.62	\$ 7,000.00
9	State Receipts: State Aid			
10	State Receipts: Other	\$ 117,719.00	\$ 57,445.14	\$ -
11	State Receipts: Property Tax Credit	\$ 173,887.00	\$ 67,747.50	
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -	\$ -
13	Local Receipts: In Lieu of Tax (To LC-3 Supporting Schedule)	\$ 2,629.00	\$ 529.93	\$ 500.00
14	Local Receipts: Other	\$ 100,455.00	\$ 237,928.65	\$ 54,000.00
15	Transfers In Of Surplus Fees (To LC-3 Supporting Schedule)	\$ -	\$ -	\$ -
16	Transfer In Other Than Surplus Fees (Should agree to Transfers Out on Line 28)	\$ -	\$ -	\$ -
17	<b>Total Resources Available (Lines 5 thru 16)</b>	\$ 18,123,950.00	\$ 20,525,053.70	\$ 13,305,937.90
18	<b>Disbursements &amp; Transfers:</b>			
19	Operating Expenses	\$ 157,815.31	\$ 127,452.37	\$ 87,400.00
20	Capital Improvements (Real Property/Improvements)	\$ 916,645.00	\$ 9,810,358.43	\$ 13,174,838.00
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -	\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ -	\$ -	\$ -
23	Debt Service: Payments to Retire Interest-Free Loans (Public Airports)	\$ -	\$ -	\$ -
24	Debt Service: Payments to Bank Loans & Other Instruments (Fire Districts)	\$ -	\$ -	\$ -
25	Debt Service: Other	\$ -	\$ -	\$ -
26	Judgments	\$ -	\$ -	\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -	\$ -
28	Transfers Out Other Than Surplus Fees (Should agree to Transfers In on Line 16)	\$ -	\$ -	\$ -
29	<b>Total Disbursements &amp; Transfers (Lines 19 thru 28)</b>	\$ 1,074,460.31	\$ 9,937,810.80	\$ 13,262,238.00
30	<b>Balance Forward/Cash Reserve (Line 17 - Line 29)</b>	\$ 17,049,489.69	\$ 10,587,242.90	\$ 43,699.90

<b>PROPERTY TAX RECAP</b>	Tax from Line 6	\$ 2,657,195.00
	County Treasurer's Commission at 2% of Line 6	\$ 53,144.00
	Delinquent Tax Allowance	\$ -
	<b>Total Property Tax Requirement</b>	<b>\$ 2,710,339.00</b>

# Railroad Transportation Safety District in Lancaster County

## To Assist the County For Levy Setting Purposes

The Cover Page identifies the Property Tax Request between Principal & Interest on Bonds and All Other Purposes. If your political subdivision needs more of a breakdown for levy setting purposes, complete the section below.

Property Tax Request by Fund:

	Property Tax Request
General Fund	\$ 2,710,339.00
Sinking Fund	
Bond Fund	
_____ Fund	
_____ Fund	
_____ Fund	
<b>Total Tax Request</b>	<b>** \$ 2,710,339.00</b>

\*\* This Amount should agree to the Total Personal and Real Property Tax Required on the Cover Page (Page 1).

## Documentation of Transfers:

*(Only complete if there are transfers noted on Page 2, Column 2)*

Please explain what fund the monies were transferred from, what fund they were transferred to, and the reason for the transfer.

Transfer From:	Transfer To:
Amount:	
Reason:	

Transfer From:	Transfer To:
Amount:	
Reason:	

Transfer From:	Transfer To:
Amount:	
Reason:	

Railroad Transportation Safety District in Lancaster County

**CORRESPONDENCE INFORMATION**

<b>BOARD CHAIRPERSON</b>
Deb Schorr
<i>(Name of Board Chairperson)</i>
555 South Tenth Street
<i>(Mailing Address)</i>
Lincoln, 68508
<i>(City &amp; Zip Code)</i>
402-441-7447
<i>(Telephone Number)</i>
dschorr@lanaster.ne.gov
<i>(E-Mail Address)</i>

NOTE: If Budget Document is used as an Audit Waiver, approval of the Audit Waiver will be sent to the Board Chairperson via e-mail. If no e-mail address is supplied for the Board Chairperson, notification will be mailed via the USPS.

For Questions on this form, who should we contact (please ✓ one): *Contact will be via e-mail if supplied.*

- Board Chairperson
- Preparer
- Other Contact

<b>PREPARER</b>
Kurt L. Micek, CPA
<i>(Name and Title)</i>
Micek and Crouch, PC
<i>(Firm Name)</i>
130 Cherry Hill Blvd, Suite One
<i>(Mailing Address)</i>
Lincoln, 68510
<i>(City &amp; Zip Code)</i>
402-488-4900
<i>(Telephone Number)</i>
kmicek@micekandcrouch.com
<i>(E-Mail Address)</i>

<b>OTHER CONTACT</b>
Roger Figard
<i>(Name and Title)</i>
City of Lincoln
<i>(Firm Name)</i>
901 West Bond Street
<i>(Mailing Address)</i>
Lincoln, 68521
<i>(City &amp; Zip Code)</i>
402-441-7711
<i>(Telephone Number)</i>
rfigard@lincoln.ne.gov
<i>(E-Mail Address)</i>

Railroad Transportation Safety District in Lancaster County

LC-3 SUPPORTING SCHEDULE

**Calculation of Restricted Funds**

Total Personal and Real Property Tax Requirements	(1)	\$	2,710,339.00
Motor Vehicle Pro-Rate	(2)	\$	7,000.00
In-Lieu of Tax Payments	(3)	\$	500.00
Transfers of Surplus Fees	(4)	\$	-
Prior Year Budgeted Capital Improvements that were excluded from Restricted Funds.			
Prior Year 2012-2013 Capital Improvements Excluded from Restricted Funds (From 2012-2013 LC-3 Lid Exceptions, Line (10))		\$	14,570,627.00 (5)
LESS: Amount Spent During 2012-2013		\$	9,830,891.00 (6)
LESS: Amount Expected to be Spent in Future Budget Years		\$	4,739,736.00 (7)
Amount to be included on 2013-2014 Restricted Funds (Cannot be a Negative Number)	(8)	\$	-

**TOTAL RESTRICTED FUNDS (A) (9) \$ 2,717,839.00**

**LC-3 Lid Exceptions**

Capital Improvements (Real Property and Improvements on Real Property)		\$	7,457,575.00 (10)
LESS: Amount of prior year capital improvements that were excluded from previous lid calculations but were not spent and now budgeted this fiscal year (cannot exclude same capital improvements from more than one lid calculation.)		\$	4,739,736.00 (11)
Agrees to Line (7).			
Allowable Capital Improvements	(12)	\$	2,717,839.00
Bonded Indebtedness	(13)		
Public Facilities Construction Projects (Statute 72-2301 to 72-2308) (Fire Districts & Hospital Districts Only)	(14)		
Interlocal Agreements/Joint Public Agency Agreements	(15)		
Public Safety Communication Project - Statute 86-416 (Fire Districts Only)	(16)		
Payments to Retire Bank Loans and Other Financial Instruments - Agreed to before 7/1/99 (Fire Districts Only)			
OR			
Payments to Retire Interest-Free Loans from the Department of Aeronautics (Public Airports Only)	(17)		
Judgments	(18)		
Refund of Property Taxes to Taxpayers	(19)		
Repairs to Infrastructure Damaged by a Natural Disaster	(20)		

**TOTAL LID EXCEPTIONS (B) (21) \$ 2,717,839.00**

<p><b>TOTAL 2013-2014 RESTRICTED FUNDS</b>  <b>For Lid Computation (To Line 9 of the LC-3 Lid Form)</b>                  To Calculate: Total Restricted Funds (A)-Line 9 MINUS Total Lid Exceptions (B)-Line 21</p>	<p>\$ -</p>
---	-------------

Total 2013-2014 Restricted Funds for Lid Computation cannot be less than zero. See Instruction Manual on completing the LC-3 Supporting Schedule.

Railroad Transportation Safety District  
 in  
 Lancaster County

**COMPUTATION OF LIMIT FOR FISCAL YEAR 2013-2014**

**PRIOR YEAR RESTRICTED FUNDS AUTHORITY OPTION 1 OR OPTION 2**

**OPTION 1**

2012-2013 Restricted Funds Authority (Base Amount) = Line (8) from last year's LC-3 Form 1,421,926.38  
Option 1 - (1)

**OPTION 2 - Only use if a vote was taken at a townhall meeting to exceed Lid for one year**

Line (1) of 2012-2013 Lid Computation Form \_\_\_\_\_  
Option 2 - (A)

Allowable Percent Increase Less Vote Taken \_\_\_\_\_ %  
 (From 2012-2013 Lid Computation Form Line (6) - Line (5)) Option 2 - (B)

Dollar Amount of Allowable Increase Excluding the vote taken \_\_\_\_\_  
 Line (A) X Line (B) Option 2 - (C)

Calculated 2012-2013 Restricted Funds Authority (Base Amount) = \_\_\_\_\_  
 Line (A) Plus Line (C) Option 2 - (1)

**ALLOWABLE INCREASES**

- 1 **BASE LIMITATION PERCENT INCREASE (2.5%)** 2.50 %  
(2)
  
- 2 **ALLOWABLE GROWTH PER THE ASSESSOR MINUS 2.5%** - %  
(3)  

$$\frac{317,546,130.00}{2013 \text{ Growth per Assessor}} \div \frac{20,119,951,547.00}{2012 \text{ Valuation}} = \frac{1.58}{\text{Multiply times 100 To get \%}}$$
  
- 3 **ADDITIONAL ONE PERCENT BOARD APPROVED INCREASE** % (4)  

$$\frac{\text{\# of Board Members voting "Yes" for Increase}}{\text{Total \# of Members in Governing Body}} = \frac{-}{\text{Must be at least .75 (75\%) of the Governing Body}} \%$$

ATTACH A COPY OF THE BOARD MINUTES APPROVING THE INCREASE.

Railroad Transportation Safety District  
in  
Lancaster County

**4** SPECIAL ELECTION/TOWNHALL MEETING - VOTER  
APPROVED % INCREASE \_\_\_\_\_ %  
(5)

Please Attach Ballot Sample and Election Results OR Record of Action From Townhall Meeting

TOTAL ALLOWABLE PERCENT INCREASE = Line (2) + Line (3) + Line (4) + Line (5) \_\_\_\_\_ 2.50 %  
(6)

Allowable Dollar Amount of Increase to Restricted Funds = Line (1) x Line (6) \_\_\_\_\_ 35,548.16  
(7)

Total Restricted Funds Authority = Line (1) + Line (7) \_\_\_\_\_ 1,457,474.54  
(8)

Less: 2013-2014 Restricted Funds from LC-3 Supporting Schedule \_\_\_\_\_ -  
(9)

Total Unused Restricted Funds Authority = Line (8) - Line (9) \_\_\_\_\_ 1,457,474.54  
(10)

**LINE (10) MUST BE GREATER THAN OR EQUAL TO ZERO OR  
YOU ARE IN VIOLATION OF THE LID LAW.**

**THE AMOUNT OF UNUSED RESTRICTED FUNDS AUTHORITY ON LINE (10)  
MUST BE PUBLISHED IN THE NOTICE OF BUDGET HEARING.**

City of Lincoln/Lancaster County Railroad  
Transportation Safety District  
Allowable Growth Computation  
For 2013-2014 Budget Form LC-3

I:\Jan\Budget\1516BUDG.XLS]Growth13  
tj 8/19/2013

2013 Valuation	20,848,760,247	Avg. growth last three years
Attributable to Growth	317,546,130	Avg. growth last three years
2012 Valuation	20,119,951,547	Per Co. Treasurer
Growth percentage	1.58%	
Minus standard	<u>2.50%</u>	
Allowable growth	<u><u>-0.92%</u></u>	

CITY OF LINCOLN/LANCASTER COUNTY RAILROAD  
TRANSPORATION SAFETY DISTRICT

SUMMARY OF SIGNIFICANT FORECAST ASSUMPTIONS

NOTE 1 – NATURE OF THE FORECASTS:

This financial forecast presents, to the best of management's knowledge and belief, the District's expected financial position, results of operations, and cash flows for the forecast period. Accordingly, the forecast reflects its judgment as of August 19, 2013, the date of this forecast, of the expected conditions and its expected course of action. The assumptions disclosed herein are those that management believes are significant to the forecast. There will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

NOTE 2 – COSTS:

The City Engineer for the City of Lincoln estimates the costs of the various construction projects as shown on the enclosed six year plan and flow of funds statement. To cover the cost of future projects, the District must levy for expenditures beyond one year.

Capital expenditures for 2013-2014 year	\$ 4,725,000
Capital expenditures beyond one year	<u>8,449,838</u>
	<u>\$ 13,174,838</u>

NOTE 3 – TAXES:

The forecasted tax revenue is based upon a valuation of \$20,848,760,247 at the levy rate of 0.013 per one hundred dollars of the actual valuation.

# Micek & Crouch, P.C.

Certified Public Accountants

One Executive Center East  
130 Cherry Hill Boulevard  
Lincoln, Nebraska 68510  
Phone: (402) 488-4900  
Fax: (402) 488-5525

Kurt L. Micek, C.P.A.  
Janalee Crouch, C.P.A.

## ACCOUNTANTS' COMPILATION REPORT

Board of Directors  
City of Lincoln/Lancaster County Railroad  
Transportation Safety District  
Lincoln, Nebraska

We have compiled the budget statements of cash receipts and disbursements - all funds of the City of Lincoln/Lancaster County Railroad Transportation Safety District, for the years ended June 30, 2012 and 2013, included in the accompanying prescribed form. We have not audited or reviewed the accompanying budget statements and, accordingly, do not express an opinion or provide any assurance about whether the budget statements are in accordance with the form prescribed by the Nebraska Auditor of Public Accounts.

Management is responsible for the preparation and fair presentation of the budget statements in accordance with requirements prescribed by the Nebraska Auditor of Public Accounts and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the budget statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of budget statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the budget statements. The budget statement was compiled by us from financial statements for the same period that we previously audited, as indicated in our report dated July 31, 2012.

We have also compiled the accompanying proposed budget statement of cash receipts and disbursements - all funds of the City of Lincoln/Lancaster County Railroad Transportation Safety District for the year ending June 30, 2014, included in the accompanying prescribed form, in accordance with standards established by the American Institute of Certified Public Accountants.

A compilation is limited to presenting in the form of a forecast prescribed by the Nebraska Auditor of Public Accounts information that is the representation of the Board and does not include evaluation of the support for the assumptions underlying the forecast. We have not examined the forecast and, accordingly, do not express an opinion or any other form of assurance on the accompanying information or assumptions. Furthermore, there will usually be differences between the forecasted and actual results because events and circumstances frequently do not occur as expected, and those differences may be material. We have no responsibility to update this report for events and circumstances occurring after the date of this report.

The accompanying statements and information are presented in accordance with the requirements of the Nebraska Auditor of Public Accounts, which differ from accounting principles generally accepted in the United States of America. This report is intended solely for the information and use of the Nebraska Auditor of Public Accounts and is not intended to be and should not be used by anyone other than this specified party.

*Micek & Crouch, P.C.*

Lincoln, Nebraska  
August 19, 2013

**LANCASTER COUNTY ASSESSOR/REGISTER OF DEEDS**  
COUNTY-CITY BUILDING                      LINCOLN, NEBRASKA 68508-2864                      PHONE (402) 441-7463

FAX (402) 441-8759

NORMAN H. AGENA  
ASSESSOR/REGISTER OF DEEDS

ROB OGDEN  
CHIEF FIELD DEPUTY

SCOTT GAINES  
CHIEF ADMINISTRATIVE DEPUTY

**RECEIVED**

AUG 19 2013

CITY ENGINEERS OFFICE  
CITY OF LINCOLN

**CERTIFICATE OF VALUATION**  
for tax year 2013

for

**RAILROAD TRANSPORTATION SAFETY DISTRICT**

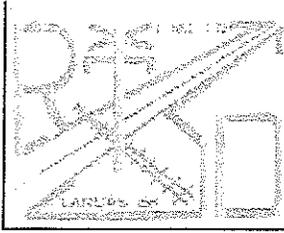
<b>2013 Total Valuation</b>	<b>\$</b>	<b>20,848,760,247</b>
<b>Valuation Attributed to Growth</b>	<b>\$</b>	<b>317,546,130</b>

I, Norman H. Agena, duly elected Lancaster County Assessor/Register of Deeds, pursuant to the provisions of Neb. Rev. Stat. Section 13-509, do hereby certify that the valuation listed herein is, to the best of my knowledge and belief, the true and accurate taxable valuation for the current year.

*\*Value attributable to growth is determined pursuant to section 13-518 which includes real and personal property annexation if applicable.*

Dated this 16<sup>th</sup> day of August, 2013.

  
\_\_\_\_\_  
Norman H. Agena



## RAILROAD TRANSPORTATION SAFETY DISTRICT

Board Meeting

Tuesday, September 10, 2013

Meeting Began At: 8:14 a.m.

Meeting Ended At: 8:50 a.m.

Members Present: Deb Schorr, Doug Emery, Brent Smoyer, Leirion Gaylor Baird, Roy Christensen, Roma Amundson

Members Absent: N/A

Others Present: Roger Figard, Tina Queen, Liz Thanel, Susie Filipi, Bill Kutilek, Kurt Micek, Gary Irvin, Mark Lutjeharms, Rick Haden, Silas Clarke (Hickman), Dave Dykmann.

### **Order No. 13-16 Call Meeting to Order. Approval of Previous Minutes.**

Schorr called the meeting to order.

Smoyer motioned to approve the previous minutes. Amundson seconded the motion. Motion approved 6-0.

### **Order No. 13-17 Statement of Receipts & Disbursements & Investment Detail.**

Thanel presented the statement of receipts and disbursements.

- Operating Costs - support services, legal services, \$1300 NCUTCD conference, \$500 liability insurance, \$166 printing, postage, banking
- Construction Costs - \$225,000 Waverly Quiet Zone

Gaylor Baird asked if it is typical to collect almost 1/3 of the revenues in the first two months.

Thanel replied yes, because the second half of property taxes are due on August 1st.

Christiansen motioned to approve the statement of receipts and disbursements. Gaylor Baird seconded the motion. Motion approved 6-0.

### **Order No. 13-18 Current Budget Status, Project Updates, Committee Updates.**

Figard stated that nothing has changed financially from the June meeting. He referenced the 12 year CIP to include the Beltway project with approximately \$13 million to do the bridges. NDOR thinks that they will be constructing and building between 2020 - 2024. If the District wants to participate early, there are some

things that would need to be looked into. Any money not spent would carry over. If the Board is supportive, the RTSD could enter into an agreement to collect the money and pay it to NDOR to assist the project in moving ahead.

Christensen asked if the RTSD could put the money into a separate account that can be controlled instead of handing it over the State.

Figard replied yes. He will look into all options with the RTSD Attorney.

Gaylor Baird asked if the projections are based on cash flow projections.

Figard replied yes and referenced the 10 year cash flow attachment with the maximum levy of 2.6.

Figard referenced the addition of Rock Island and Wilderness Bridge in year 2014. The trail ends at the BNSF tracks. Also a project for the 33<sup>rd</sup> and BNSF crossing was added. A request for proposal will be worked on this year. The other two minor changes are to Miscellaneous Engineering and Emergency & Safety which were increased from \$100,000 to \$150,000 to cover anything that may come up.

Schorr asked why Figard selected 2025-2026 for the 70<sup>th</sup> & BNSF project.

Figard replied that it was to hold space and a reminder that there is a need for that location.

Gaylor Baird asked how the slight increase in revenue gets used.

Figard replied the RTSD never budgets to spend all the revenue. The RTSD works on priority projects.

Smoyer asked about the progress on Southwest 40<sup>th</sup>.

Figard replied that the contract is 900 working calendar days. Most of the utility work is in. The contractor is having girders made. Over the next month or two, piling and abutments should be coming up out of the ground. The project is on schedule and contract with what the City of Lincoln signed with the contractor. The construction can continue throughout the winter months.

Mark Lutjeharms - "Mark Lutjeharms with The Schemmer Associates representing the Waverly Quiet Zone project. I am pleased to inform you that after several years of diligent effort including input by many of you on the Board, that as of 11:59 tomorrow night, the quiet zone will be in effect. That's the good news. The somewhat, not bad news, but that did come with a formal objection from the BNSF Railway related to what I guess would be described as an outstanding issue between a report that was done for them in some unresolved matters with the Nebraska Department of Roads related to the communication between the railroad signal and the highway traffic signal at 141<sup>st</sup> Street. That objection was denied by FRA. Again, as of 11:59 tomorrow night, the quiet zone will be established. I would be happy to answer any questions if there are any. Will take a little bit of time for the engineers to become accustomed to that and again there

will still be occasions where the horns are blowing for emergency purposes or other matters, but hopefully the quality of life in Waverly will improve, hopefully a lot as a result of those train horns being silenced.”

Silas Clarke - “Hello. Silas Clarke, City Administrator to the new Board Members I have not met. Thank you again for the continued support of this project. I was unable to make it to the last meeting. I know Rick Haden is also with me today from FHU, our project consultant. If any of the new members would like to come out to Hickman and see what we are dealing with out there and hopefully get this quiet zone. I should have went before Waverly, but hopefully ours won’t take that long. We are moving forward. The railroad, we’ve been pestering them quite a bit. They are getting us estimates. We do have the estimate for the private crossing there. I had a meeting on Friday with some representatives from the Railroad that were then getting the project plans up to their estimators. So we will continue on pestering them and hopefully get those agreements in time. We’ve tried to get them to start drafting those agreements while the estimates are being completed. We’ll see kinda what is happening there and I’ll turn it over to Rick.”

Schorr asked for a map to be shown to the new Board Members.

Silas Clarke - “While Rick is pulling that up, there is actually three crossings. A part crossing there on 2<sup>nd</sup> Street in Hickman. We are looking at a four-gated system there so everybody can’t go around it and we’ll also include a very small sidewalk on the side, not a trail, just for safety reasons there. The private crossing that’s in the middle of town, there’s a single house that sits there to the west and we need to bring up the grade on that. They are actually kinda going over a real big hump there and then some new signage there. The part crossing is down below here. There’s the private crossing there to the north. And then the last crossing is on Hickman Road there going into town and that will actually have the medians and will be a new signaled system there with the two gates. We are looking at three crossings there in Hickman.”

Rick Haden - “And a new overpass immediately to the south of this intersection.”

Silas Clarke - “That’s correct. That would already be considered part of the quiet zone just because they are underneath and that was again with the RTSD’s support that the project happened. So we are moving forward and we’ll continue to push the Railroad very hard and appreciate your continued support of this project. I’m getting at least weekly conversations from people and different people asking when this is going happen. So there’s still some excitement in town and as we’re looking at further development, we have only 88 lots left. We have 40 houses going up already this year. So this is something that we’ll continue to help Hickman grow because it’s kinda to the northwest section of probably where the next development will go and some developers have asked about the quiet zone also. So again, thank you for your continued support.”

Figard stated that he was in Portland, ME this summer for the NCUTCD meeting. There is huge confusion and continued fighting and concern as it relates to what is called preemption. Preemption is the coordination and interaction between traffic signals on highways and streets in proximity to railroad grade signals. If there is a train coming and the gates are coming down, the traffic signal system needs to coordinate with it so it doesn’t trap someone within those railroad tracks. Three days were spent discussing this issue with no real resolution. The FHWA did decide not to split the Manual on Uniform Traffic Control Devices. The next meeting will be in Washington, DC in January.

Schorr asked what the logic was in not splitting the manual in half.

Figard replied that the FHWA received enough negative comments. The manual is made up of law and suggestions. There was some concern that if the manual was split, all of the “should do” items would get lost.

**Order No. 13-19      Approve 2013 - 2014 Budget & Audit.**

Kurt Micek - “Good morning. I’m Kurt Micek with Micek and Crouch certified public accountants. And the luxury of having a June 30 fiscal year for the RTSD is that we can incorporate that with the budget to get really good actual amount that ties into the budget 2012-2013 history. What I am going to go over is the statement of net position which is on page 6 of the audited financial statements which we have given an unqualified opinion on as well as when we get to page 7 on the statement of activities. The issue I think that to really note there is if you look at the total assets which is mostly consisting of cash, the County Treasurer balances and property taxes receivable and the investments that there are certificates of deposit whereas of June 30, 2012, the total was \$19, 246,000 so you can see it’s decreased \$11, 432,000 as of June 30, 2013. Moving to page 7, for reference and because of that, there is quite a bit of expenditure that happened in the year of 2013 on the construction projects and I’m referring to the \$9,612,000 for the Southwest 40<sup>th</sup> property. So that was a big construction event in 2013. The other issue then if you look at the tax revenue, because the levy went from 2.6 to 1.0 for 2013, as a tax revenue went from \$2,049,000 compared to the \$4, 965,000. So the impact of that having the effect during this year as well. Those are the two major issues as far as the history during these two comparative years. The only issue as far as internal control was very minor. We found that the safe keeping receipts were lagging by \$140,000 on the CDs. That was corrected right away after the end of the year. So this is an issue of when you have the amount of investment that there is over the FDIC insurance, the municipalities are allowed to get the safe keeping receipts to add additional collateral to that and it was just short by \$140,000 and was corrected right away. Other than that, we had no other problems with compliance or internal control with our audit.”

Figard affirmed that the budget the Board recommended approval for at the June Board meeting is reflected in the budget approval for today. No amendment was required even though the levy request of 2.6 was lowered.

Smoyer motioned to approve the 2013 - 2014 budget and audit. Christiansen seconded the motion. Motion approved 6-0.

**Order No. 13-20      Interlocal Agreements.**

Figard stated the Board approved the budget to support these projects, but the District specifically uses an annual financial interlocal agreement to authorize the expenditure of the funds. There should not be any additional billings on Southwest 40<sup>th</sup>. South Salt Creek and South Lincoln are pretty much finalized, just waiting for final bills from the Railroad.

Amundson motioned to approve all interlocal agreements presented including Waverly Quiet Zone, Hickman Quiet Zone, Southwest 40<sup>th</sup>, South Salt Creek Quiet Zone, and the South Lincoln Quiet Zone. Gaylor Baird seconded the motion. Motion approved 6-0.

**Order No. 13-21      Petitions/Letters/Requests.**

Figard stated that he received a letter from the Attorney General's office with a complaint about horns in the Gooch Mill area. The Attorney General's office wanted to know if the RTSD had any control over the operation of the Railroads. The horns have ceased.

**Order No. 13-22      Non-Agenda Items.**

Figard stated that the minutes will now be posted on the website. Copies of the minutes will be brought to the Board Meeting as well. Letters sent out will now be cc:d to all Board Members. The District did send a letter to the NDOR officially requesting that the RTSD be certified as a local public agency and be eligible to receive federal aid. The RTSD could physically lead a project and make the 33rd/35th & Adams project eligible for federal funds.

Schorr stated that there was a request from a Board Member to start at 8:30 am on Tuesday morning meetings. The schedule has always been a challenge.

Figard stated that shouldn't be a problem as long as the Council Chambers is available at that time.

Schorr asked for any public comment.

The next Board meeting will be held on Monday, December 9, 2013 @ 11:00 am.

Gaylor Baird motioned to adjourn the meeting. Smoyer seconded the motion. Motion approved 6-0.

**Prepared by: Tina Queen, Engineering Services**

**LANCASTER COUNTY ASSESSOR/REGISTER OF DEEDS**

COUNTY-CITY BUILDING

LINCOLN, NEBRASKA 68508-2864

PHONE (402) 441-7463

FAX (402) 441-8759

NORMAN H. AGENA  
ASSESSOR/REGISTER OF DEEDS

**RECEIVED**

AUG 19 2013

CITY ENGINEERS OFFICE  
CITY OF LINCOLN

ROB OGDEN  
CHIEF FIELD DEPUTY

SCOTT GAINES  
CHIEF ADMINISTRATIVE DEPUTY

**CERTIFICATE OF VALUATION**

for tax year 2013

for

**RAILROAD TRANSPORTATION SAFETY DISTRICT**

<b>2013 Total Valuation</b>	<b>\$</b>	<b>20,848,760,247</b>
<b>Valuation Attributed to Growth</b>	<b>\$</b>	<b>317,546,130</b>

I, Norman H. Agena, duly elected Lancaster County Assessor/Register of Deeds, pursuant to the provisions of Neb. Rev. Stat. Section 13-509, do hereby certify that the valuation listed herein is, to the best of my knowledge and belief, the true and accurate taxable valuation for the current year.

*\*Value attributable to growth is determined pursuant to section 13-518 which includes real and personal property annexation if applicable.*

Dated this 16<sup>th</sup> day of August, 2013.



Norman H. Agena

# AFFIDAVIT OF PUBLICATION

State of Nebraska }  
LANCASTER COUNTY, } ss.

**RAILROAD TRANSPORTATION SAFETY DISTRICT  
NOTICE OF PUBLIC MEETING**  
A public meeting of the Railroad Transportation Safety District will be held at 8:15 a.m. on Tuesday, September 10, 2013 in the Lincoln City Council Chambers Room. The agenda for the meeting will consist of: Call to Order and Approval of Minutes; Statement of Receipts & Disbursements; Current Budget Status, Project Updates, Committee Updates; Approve 2013-2014 Budget & Audit; Interlocal Agreements - Waverly Quiet Zone, Hickman Quiet Zone, Southwest 40th, South Salt Creek Quiet Zone, South Lincoln Quiet Zone; Petitions/Letters/Requests; Non-Agenda Items.

/s/ Roger A. Figard  
Roger A. Figard, Executive Director

**AGENDA**  
RAILROAD TRANSPORTATION SAFETY DISTRICT  
City Council Chamber Room  
County-City Building  
Lincoln, Nebraska

Date: Tuesday, September 10, 2012  
Time: 8:15 - 9:30 a.m.

Item	Subject	Presented by	Order No.
1.	Call to Order.		
	Approval of Minutes.	D. Schorr	13-16
2.	Statement of Receipts & Disbursements.	L. Thanel	13-17
3.	Current Budget Status, Project Updates, Committee Updates (NCUTCD).	R. Figard	13-18
4.	Approve 2013 - 2014 Budget & Audit.	R. Figard	13-19
5.	Interlocal Agreements:	R. Figard	13-20
	a. Waverly Quiet Zone		
	b. Hickman Quiet Zone		
	c. Southwest 40th		
	d. South Salt Creek Quiet Zone		
	e. South Lincoln Quiet Zone		
6.	Petitions/Letters/Requests.	R. Figard	13-21
7.	Non-Agenda Items.	R. Figard	13-22

Next meeting will be held on Monday, December 9, 2013 at 11:00 a.m.

**NOTICE OF BUDGET HEARING  
AND BUDGET SUMMARY**

Railroad Transportation Safety District  
IN Lancaster County, Nebraska

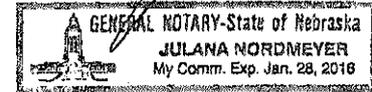
**PUBLIC NOTICE** is hereby given, in compliance with the provisions of State Statute Sections 13-501 to 13-513, that the governing body will meet on the 10th day of September 2013, at 8:15 o'clock A.M. at City Council Chambers, Lancaster County-City Building for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to the following proposed budget. The budget detail is available at the office of the Clerk during regular business hours.  
Roy Christensen, Clerk/Secretary

2011-2012 Actual Disbursements & Transfers	\$ 1,024,460.31
2012-2013 Actual/Estimated Disbursements & Transfers	\$ 9,937,810.89
2013-2014 Proposed Budget of Disbursements & Transfers	\$13,262,238.00
2013-2014 Necessary Cash Reserve	\$ 43,699.90
2013-2014 Total Resources Available	\$13,305,937.90
Total 2013-2014 Personal & Real Property Tax Requirement	\$ 2,710,339.00
Unused Budget Authority Created for Next Year	\$ 1,457,474.54
<b>Breakdown of Property Tax:</b>	
Personal and Real Property Tax Required for Non-Bond Purposes	\$ 2,710,339.00
Personal and Real Property Tax Required for Bonds	\$ ~

#7442157 11 Sept 5

The undersigned, being first duly sworn, deposes and says that she/he is a Clerk of the Lincoln Journal Star, legal newspaper printed, published and having a general circulation in the County of Lancaster and State of Nebraska, and that the attached printed notice was published in said newspaper one successive time(s) the first insertion having been on September 5, 2013 and thereafter on \_\_\_\_\_, 20\_\_\_\_ and that said newspaper is the legal newspaper under the statutes of the State of Nebraska. The above facts are within my personal knowledge and are further verified by my personal inspection of each notice in each of said issues.

Subscribed in my presence and sworn to before me on Sept 17, 2013  
[Signature] Notary Public



7442157